

012304

17236 U.S. PTO

PTO/SB/05 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY
PATENT APPLICATION
TRANSMITTAL

Only for new nonprovisional applications under 37 CFR 1.53(B))

Docket Number	VT-2505
First Inventor	Jeremy Barker
Title	Fluorosulfate-Based Electrode Active Materials And Method...
Express Mail Label No.	EU785350445US

APPLICATION ELEMENTS

Commissioner for Patents
Mail Stop Patent Application
ADDRESS TO: P.O. Box 1450
Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant Claims Small Entity Status
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 46]
- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets]
5. ☒ Oath or Declaration [Total Pages 1]
a. ☒ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
Named in the prior application, see 37 CFR 1.63(D)(2) and 1.33(b)
6. ☒ Application Data Sheet. See 37 CFR 1.76
7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Sequence Submission
a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet and documents)
10. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of Citations
13. ☐ Preliminary Amendment
14. ☐ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
17. ☒ Other: Express Mail Cert., Return Postcard

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.:

Prior application information: Examiner:

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.


19. CORRESPONDENCE ADDRESS

☒ Customer Number of Bar Code Label

33204

or ☐ Correspondence address below

Name	Michael D. Ross		Registration No. (Attorney/Agent)	45,057
Address			Date	January 23, 2004
City/Country				

Name (Print/Type)	Michael D. Ross	Registration No. (Attorney/Agent)	45,057
Signature		Date	January 23, 2004

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

FEE TRANSMITTAL for FY 2003

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)1986

Application Number	TBD
Filing Date	January 23, 2004
First Named Inventor	Jeremy Barker
Examiner Name	TBD
Group Art Unit	TBD
Attorney Docket No.	VT-2505

METHOD OF PAYMENT (check all that apply)
☐ Check ☐ Credit card ☐ Money Order ☐ Other None

☒ Deposit Account

Deposit Account Number 220100
Deposit Account Name Valence Technology, Inc.

The Commissioner is authorized to: (check all that apply)
☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION
1. BASE FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)		
1001 770	2001 385			Utility filing fee	770
1002 340	2002 170			Design filing fee	
1003 530	2003 265			Plant filing fee	
1004 770	2004 385			Reissue filing fee	
1005 160	2005 80			Provisional filing fee	

SUBTOTAL (1) (\$)770

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Total Claims	Extra Claims	Fee	Fee Paid
Total Claims	87 - 20 =	67	x 18 =	1216
Ind. Claims	2 - 3 =	1	x 86 =	0
Multiple Dependent				=

Large Entity		Small Entity		Fee Description
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	
1202 18	2202 9			Claims in excess of 20
1201 86	2201 43			Independent claims in excess of
1203 290	2203 145			Multiple dependent claim, if not paid
1204 86	2204 43			**Reissue independent claims over original patent
1205 18	2205 9			**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)1986

** or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)
3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	
1051 130	2051 65			Surcharge - late filing fee or oath
1052 50	2052 25			Surcharge - late provisional filing fee or cover sheet
1053 130	1053 130			Non-English specification
1812 2,520	1812 2,250			For filing a request for <i>ex parte</i> reexamination
1804 920*	1804 920*			Requesting publication of SIR prior to Examiner action
1805 1,840*	1805 1,840*			Requesting publication of SIR after Examiner action
1251 110	2251 55			Extension for reply within first month
1252 420	2252 210			Extension for reply within second month
1253 950	2253 475			Extension for reply within third month
1254 2,010	2254 1,005			Extension for reply within fourth month
1255 1,970	2255 985			Extension for reply within fifth month
1401 330	2401 165			Notice of Appeal
1402 330	2402 165			Filing a brief in support of an appeal
1403 290	2403 145			Request for oral hearing
1451 1,510	1451 1,510			Petition to institute a public use proceeding
1452 110	2452 55			Petition to revive - unavoidable
1453 1,330	2453 665			Petition to revive - unintentional
1501 1,330	2501 665			Utility issue fee (or reissue)
1502 480	2502 240			Design issue fee
1503 640	2503 320			Plant issue fee
1460 130	1460 130			Petitions to the Commissioner
1807 50	1807 50			Processing fee under 37 CFR 1.17(q)
1806 180	1806 180			Submission of Information Disclosure Stmt
8021 40	8021 40			Recording each patent assignment per property (times number of properties)
1809 770	2809 385			Filing a submission after final rejection (37 CFR § 1.129(a))
1810 770	2810 385			For each additional invention to be examined (37 CFR § 1.129(b))
1801 770	2801 385			Request for Continued Examination (RCE)
1802 900	1802 900			Request for expedited examination of a design application

Other fee (specify) ☐

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)0

Name (Print/Type) Michael D. Ross

Registration No. (Attorney/Agent) 45,057

Telephone 702-558-1000

Signature 

Date January 23, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Barker et al. Attorney Docket No.: VT-2505
Serial No. : TBD Art Unit: Unknown
Filed : January 23, 2004 Examiner: Unknown
For : Fluorosulfate-Based Electrode Active Materials
And Method Of Making The Same
Commissioner for Patents
M/S Patent Applications
P.O. Box 1450
Alexandria, VA 22313-1450

EXPRESS MAIL CERTIFICATE

"Express Mail" label number EU785350445US

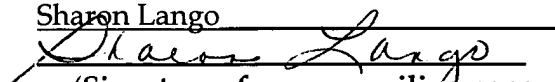
Date of Deposit January 23, 2004

I hereby certify that the following *attached*

1. Utility Patent Application Transmittal
2. Fee Transmittal
3. Specification (29 pgs); Claims (16 pgs); Abstract (1 pg)
4. Declaration (37 CFR 1.63) Using An Application Sheet and Power of Attorney
5. Application Data Sheet (3 pgs.)
4. Return postcard

is being deposited with the United States Postal Service "Express Mail Post Office to addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, M/S Patent Applications, P.O. Box 1450, Alexandria, VA 22313.

Sharon Lango


(Signature of person mailing paper or fee)